## O'BANION & RITCHEY INP

INTELLECTUAL PROPERTY ATTORNEYS

Wells Fargo Center 400 Capitol Mall, Suite 1550 Sacramento, California 95814 Tel. (916) 498-1010 Fax (916) 498-1074 RECEIVED CENTRAL FAX CENTER AUG 1 1 2005

August 11, 2005

## TELECOPIER TRANSMITTAL SHEET

TELECOPY TO:

COMMISSIONER FOR PATENTS

FAX NUMBER:

(571) 273-8300

FROM:

JOHN P. O'BANION

RE:

10/804,814 UC03-084-3

CERTIFICATE OF FACSIMILE TRANSMISSION (37 CFR 1.8)

I hereby certify that the enclosed:

1. STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING INFORMATION DISCLOSURE STATEMENT (1 PAGE)

2. INFORMATION DISCLOSURE STATEMENT BY APPLICANT (FORM PTO/SB/08A) (1 PAGE)

are being facsimile transmitted to the United States Patent and Trademark Office (Fax No. 571-273-8300) on 11 August 2005.

JOHN P. O'BANIÓN

(Type or print name of person signing certificate)

-(Signature)

## [X] Original will not follow

The information contained in this facsimile is highly confidential and may also be subject to attorney-client privilege. The information is intended only for the use of the individual or entity to whom it is addressed. If you are not the intended recipient, or the employee or agent responsible to deliver it to the intended recipient, you are hereby notified that any use, distribution or copying of this communication is strictly prohibited. If you have received this in error, please notify us immediately by calling collect, and destroy this copy. Thank you.

NUMBER OF PAGES SENT (INCLUDING COVER SHEET):

IF THERE ARE ANY PROBLEMS OR QUESTIONS, PLEASE CONTACT US AT (916) 498-1010. OUR FAX NO. IS (916) 498-1074.

STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING INFORMATION DISCLOSURE STATEMENT				Docket No. UC03-084-3			
In Re Application Of: ANDREW A. FRANK							
Application No. 10/804,814	Filing Date 03/19/2004	Examiner LEWIS, TISHA D.	Customer No. 8156	Group Art Unit 3681	Confirmation No.		
Invention: METHOD AND SYSTEM FOR CONTROLLING RATE OF CHANGE OF RATIO IN A CONTINUOUSLY VARIABLE TRANSMISSION							
	RECEIVED CENTRAL PAX GENTER						
	COMMISSIONER FOR PATENTS: AUG 1 2005						
This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application.							
Check applicable s	statement herebelow	:					
		Statement Under 37 CFR 1.	97(e)(1)				
Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filling of the Information Disclosure Statement.							
Statement Under 37 CFR 1.97(e)(2)							
No item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.							
	Signature		Dated:	11/05			
TOHN B OBANIC	N DEC NO 22 201		Certifica	te of Mailing by	First Class Mail		
O'BANION & RIT 400 CAPITOL MA SACRAMENTO, ( (916) 498-1010	LL, SUITE 1550		the United State first class mail in for Patents, P.( [37 CFR 1.8(a)]	as Postal Service win an envelope addre D. Box 1450, Alexa on	s being deposited with th sufficient postage as ssed to "Commissioner ndria, VA 22313-1450"		
			(Date) Signatu	re of Person Mailing	Correspondence		

Typed or Printed Name of Person Malling Correspondence

PTO/SB/08A (08-03) Approved for use through 07/31/2006. OMB 0651-0031

U.S. Palent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

Sheet

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known Application Number 10/804,814 Filing Date MARCH 19, 2004 First Named Inventor ANDREW A. FRANK Art Unit 3681 Examiner Name LEWIS, TISHA D. Attorney Docket Number UC03-084-3

		y	U. S. PATEN	T DOCUMENTS	
Examiner Initials	Cite No.	Document Number  Number-Kind Cade <sup>2 (# known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		<sup>US-</sup> 4584907	04-29-1986	Niwa et al.	
		<sup>US-</sup> 6459978	10-01-2002	Taniguchi et al.	
		US-			
		US-		***	
		us-			
2*		US-			
		US-			
		Ŭ\$-			
		US-			
	<u> </u>	ÜS-			
		US-			
		US-			
		US-	-		
		US-	<del></del>		
		US-			
		US-			<del>                                     </del>
		US-			
		US-			
		US-			

FOREIGN PATENT DÖCUMENTS						
	Cite No.1	Cite Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevent Passages	
		Country Code <sup>3</sup> "Number " "Kind Code <sup>3</sup> (# known)	MM-DD-YYYY		Or Relevant Figures Appear	
	<u></u>					
ļ						Щ
L						

Examiner	Date	
Signature	Considered	
	 	ł

"EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation (if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="https://www.usplo.gov">www.usplo.gov</a> or MPEP 901.04. Senter Office that issued the document, by the two-latter code (WIPO Standard ST.3). For Japanese patent documents, the Indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.18 (f possible.)

Translation is attached. Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and automitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.